

State of Rhode Island and Providence Plantations Contract Offer
RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - VENDOR INFORMATION

Bid/RFPNumber: 7548938A1
Bid/RFP Title: ITS ON-CALL CONSTRUCTION SERVICES - DOT - ADDENDUM 1 (2 PGS)

Opening Date & Time: 9/5/2014 11:00 AM

RIVIP Vendor ID #: 37969

Vendor Name: ARDEN ENGINEERING CONSTRUCTORS, LLC

Address: 505 NARRAGANSETT PARK DR.

PAWTUCKET , RI 02861-4323
USA

Telephone: (401) 727-3500

Fax: (401) 727-3540

E-Mail: acardinale@ardeneng.com

Contact Person: ANTHONY CARDINALE

Title: SENIOR PROJECT MANAGER

R.I. Foreign Corp #:

NOTICE TO VENDORS

Each bid proposal for a *public works project* must include a "public copy" to be available for public inspection upon the opening of bids. **Bid proposals that do not include a copy for public inspection will be deemed nonresponsive.** For further information on how to comply with this statutory requirement, see R. I. Gen. Laws §§ 37-2-18(b) and (j). Also see Procurement Regulation 5.11, and in addition, for highway and bridge projects, also see Procurement Regulation 5.13, accessible at www.purchasing.ri.gov.

NOTE: AWARD OF CONTRACTS AND PURCHASE ORDERS SHALL BE SUBJECT, AT THE DISCRETION OF THE PURCHASING AGENT, TO THE OFFEROR COMPLETING AN ON-LINE RIVIP REGISTRATION at www.purchasing.ri.gov. It is THE RESPONSIBILITY OF THE VENDOR to make on-line corrections/updates using the Vendor maintenance program on the RI Division of Purchases Web Site.

SECTION 2 – REQUIREMENTS

ALL OFFERS ARE SUBJECT TO THE REQUIREMENTS, PROVISIONS AND PROCEDURES CONTAINED IN THIS CERTIFICATION FORM. Offerors are expected to READ, SIGN and COMPLY WITH all requirements. Failure to do so may be grounds for disqualification of the offer contained herein.

Section 2.1 - RULES FOR SUBMITTING OFFERS

2.1A. This CERTIFICATION FORM MUST BE ATTACHED IN ITS ENTIRETY TO THE FRONT OF THE OFFER and shall be considered an integral part of each offer made by a vendor to enter into a contract with the State of Rhode Island, Division of Purchases. As such, submittal of the entire Bidder Certification Cover Form, signed by a duly authorized representative of the offeror attesting that he/she (1) has read and agrees to comply with the requirements set forth herein and (2) to the accuracy of the information provided and the offer extended, is a mandatory part of any contract award.

To assure that offers are considered on time, each offer must be submitted with the specific Bid/RFP/LOI number (provided above) and the date and time of opening marked in the upper left hand corner of envelope. Each bid/offer must be submitted in separate sealed envelopes.

A complete, signed (in ink) offer package must be delivered to the Division of Purchases (via any mail or messenger service) by the time and date specified for the opening of responses in a sealed envelope.

Bids must be submitted on the RI bid solicitation forms provided, indicating brand and part numbers of items offered, as appropriate. Bidders must submit detailed cuts and specs on items offered as equivalent to brands requested WITH THE OFFER. Bidders must be able to submit samples if requested.

Mail To: Division of Purchases, One Capitol Hill, Second Floor, Providence, RI 02908-5855.

Documents misdirected to other State locations or which are not present in the Division of Purchases at the time of opening for whatever cause will be deemed to be late and will not be considered. For the purposes of this requirement, the official time and date shall be that of the time clock in the Division of Purchases. Postmarks shall not be considered proof of timely submission.

2.1B. RIVIP SOLICITATIONS. To assure maximum access opportunities for users, public bid/RFP solicitations shall be posted on the RIVIP for a minimum of seven days and no amendments shall be made within the last five days before the date an offer is due. Except when access to the Web Site has been severely curtailed and it is determined by the State Purchasing Agent that special circumstances preclude extending a solicitation due date, requests to mail or fax hard copies of solicitations will not be honored. When the result of an Internet solicitation is unsuccessful, the State of Rhode Island will cancel the original solicitation and resolicit the original offer directly from vendors.

2.2. PRICING. Offers are irrevocable for sixty (60) days from the opening date (or such other extended period set forth in the solicitation) and may not be withdrawn, except with the express permission of the State Purchasing Agent. All pricing will be considered to be firm and fixed unless otherwise indicated. The State of Rhode Island is exempt from Federal excise taxes and State Sales and Use Taxes. Such taxes shall not be included in the bid price. PRICES QUOTED ARE FOB DESTINATION.

2.3. DELIVERY and PRODUCT QUALITY. All offers must define delivery dates for all items; if no delivery date is specified, it is assumed that immediate delivery from stock will be made. The contractor will be responsible for delivery of materials in first class condition. Rejected materials will be at vendor's expense.

2.4. PREVAILING WAGE, OSHA SAFETY TRAINING, and APPRENTICESHIP REQUIREMENTS.

Bidders must comply with the provisions of the Rhode Island labor laws, including R. I. Gen. Laws §§ 37-13-1 *et seq.* and occupational safety laws, including R. I. Gen. Laws §§ 28-20-1 *et seq.* These laws mandate for public works construction projects the payment of prevailing wage rates, the implementation and maintenance of occupational safety standards, and for projects with a minimum value of \$1 Million, the employment of apprentices. The successful Bidder must submit certifications of compliance with these laws from each of its subcontractors prior to their commencement of any work. Prevailing wage rates, apprenticeship requirements, and other workforce and safety regulations are accessible at www.dlt.ri.gov.

2.5. PUBLIC RECORDS. Offerors are advised that all materials submitted to the State for consideration in response to this solicitation will be considered without exception to be Public Records pursuant to Title 38 Chapter 2 of the Rhode Island General Laws, and will be released for inspection immediately upon request once an award has been made. Offerors are encouraged to attend public bid/RFP openings to obtain information; however, bid/RFP response summaries may be reviewed after award(s) have been made by using the RIVIP at any time or appearing in person at the Division of Purchases Mondays through Fridays between 8:30 a.m. and 3:30 p.m. Telephone requests for results will not be honored. Written requests for results will only be honored if the information is not available on the RIVIP.

SECTION 3 - AWARD DETERMINATION

Award will be made to the responsive and responsible offeror quoting the lowest net price in accordance with specifications, for any individual item(s), for major groupings of items, or for all items listed, at the State's sole option.

3.1. BID SURETY. Where bid surety is required, bidder must furnish a bid bond or certified check for 5% of the bid total with the bid, or for such other amount as may be specified. Bids submitted without a required bid surety will not be considered.

3.2. SPECIFICATIONS. Unless specified "no substitute," product offerings equivalent in quality and performance will be considered (at the sole option of the State) on the condition that the offer is accompanied by detailed product specifications. Offers which fail to include alternate specifications may be deemed nonresponsive.

SECTION 4 – CONTRACT PROVISIONS

4.1. VENDOR AUTHORIZATION TO PROCEED.

4.1A. When a purchase order, change order, contract/agreement or contract/agreement amendment is issued by the RI Division of Purchases, no claim for payment for services rendered or goods delivered contrary to or in excess of the contract terms and scope shall be considered valid unless the vendor has obtained a written change order or contract amendment issued by the Division of Purchases PRIOR TO delivery.

4.1B. Any offer, whether in response to a solicitation for proposals or bids, or made without a solicitation, which is accepted in the form of an order OR Pricing Agreement made in writing by the Purchasing Agent, or a state official with purchasing authority delegated by the Purchasing Agent, shall be considered a binding contract.

4.2. REGULATIONS, GENERAL TERMS AND CONDITIONS GOVERNING STATE CONTRACTS. This solicitation and any contract or purchase order arising from it are issued in accordance with the specific requirements described herein, and the State's Purchasing Laws and Regulations and other applicable State Laws. The Regulations, General Terms and Conditions are incorporated into all state contracts. These regulations and basic information on How To Do Business with the State of Rhode Island are posted on the Rhode Island Vendor Information Program Website (www.purchasing.ri.gov).

4.2A. ARRA SUPPLEMENTAL TERMS AND CONDITIONS. Contracts and sub-awards funded in whole or in part by the American Recovery and Reinvestment Act of 2009, Pub.L.No. 111-5 and any amendments thereto, such contracts and sub-awards, shall be subject to the Supplemental Terms and Conditions For Contracts and Sub-awards Funded in Whole or in Part by the American Recovery and Reinvestment Act of 2009, Pub.L.No. 111-5 and any amendments thereto located on the Division of Purchases website at www.purchasing.ri.gov.

4.3. EQUAL EMPLOYMENT OPPORTUNITY. Compliance certificate and agreement procedures will apply to all awards for supplies or services valued at \$10,000 and more. Minority Business Enterprise policies and procedures, including subcontracting opportunities as described in Title 37 Chapter 14.1, of the Rhode Island General Laws, also apply.

4.4. PERFORMANCE BONDS. Where indicated, successful bidder must furnish a 100% performance bond and labor and payment bond for contracts subject to Title 37 Chapters 12 and 13 of the Rhode Island General Laws. All bonds must be furnished by a surety company authorized to conduct business in the State of Rhode Island. Performance bonds must be submitted within 21 calendar days of the issuance of a tentative notice of award.

4.5. DEFAULT and NON-COMPLIANCE. Default and/or non-compliance with the RIVIP requirements and any other aspects of the award may result in withholding of payment(s), contract termination, debarment, suspension, or any other remedy necessary that is in the best interest of the state.

4.6. COMPLIANCE. Vendor must comply with all applicable federal, state and local laws, regulations and ordinances.

4.7. SPRINKLER IMPAIRMENT AND HOT WORK. The Contractor agrees to comply with the practices of the State's insurance carrier for sprinkler impairment and hot work. Prior to performing any work, the Contractor shall obtain the necessary information for compliance from the Risk Management Office at the Department of Administration or the agency for which work will be performed.

SECTION 5 – CERTIFICATIONS AND DISCLOSURES

ALL CONTRACT AWARDS ARE SUBJECT TO THE FOLLOWING DISCLOSURES & CERTIFICATIONS

Offerors must respond to every disclosure statement.

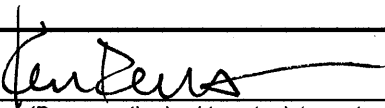
A person authorized to enter into contracts must sign the offer and attest to the accuracy of all statements.

Indicate Yes (Y) or No (N):

- N 1. State whether your company, or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has been subject to suspension or debarment by any federal, state, or municipal government agency, or the subject of criminal prosecution, or convicted of a criminal offense within the previous five (5) years. If so, then provide details below.
- N 2. State whether your company, or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has had any contracts with a federal, state or municipal government agency terminated for any reason within the previous five (5) years. If so, then provide details below.
- N 3. State whether your company or any owner, stockholder, officer, director, member, partner, or principal thereof, or any subsidiary or affiliated company, has been fined more than \$5000 for violation(s) of Rhode Island environmental laws by the Rhode Island Department of Environmental Management within the previous five (5) years. If so, then provide details below.
- Y 4. I/we certify that I/we will immediately disclose, in writing, to the Chief Purchasing Officer any potential conflict of interest, which may occur during the course of the engagement authorized pursuant to this contract.
- Y 5. I/we acknowledge that, in accordance with Chapter 37-2-54(c) of the Rhode Island General Laws "no purchase or contract shall be binding on the state or any agency thereof unless approved by the Department [of Administration] or made under general regulations which the Chief Purchasing Officer may prescribe", including change orders and other types of contracts and under State Purchasing Regulation 8.2.1.1.2, "any alleged oral agreement or arrangements made by a bidder or contractor with any agency or an employee of the Office of Purchases may be disregarded and shall not be binding on the state".
- Y 6. I/we certify that I/we or my/our firm possesses all licenses required by Federal and State laws and regulations as they pertain to the requirements of the solicitation and offer made herein and shall maintain such required license(s) during the entire course of the contract resulting from the offer contained herein and should my/our license lapse or be suspended, I/we shall immediately inform the Rhode Island State Purchasing Agent in writing of such circumstance.
- Y 7. I/we certify that I/we will maintain required insurance during the entire course of the contract resulting from the offer contained herein and should my/our insurance lapse or be suspended, I/we shall immediately inform the Rhode Island State Purchasing Agent in writing of such circumstance.
- Y 8. I/we certify that I/we understand that falsification of any information herein or failure to notify the Rhode Island State Purchasing Agent as certified herein may be grounds for suspension, debarment and/or prosecution for fraud.
- Y 9. I/we acknowledge that the provisions and procedures set forth in this form apply to any contract arising from this offer.
- Y 10. I/we acknowledge that I/we understand the State's Purchasing Laws (37-2 of the General Laws of Rhode Island) and Purchasing Regulations and General Terms and Conditions available at the Rhode Island Division of Purchases Website (www.purchasing.ri.gov) apply as the governing conditions for any contract or purchase order I/we may receive from the State of Rhode Island, including the offer contained herein.
- Y 11. I/we certify that the bidder: (i) is not identified on the General Treasurer's list, created pursuant to R.I. Gen. Laws § 37-2.5-3, as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y 12. I/we certify that the above vendor information is correct and complete.

IF YOU HAVE ANSWERED "YES" TO QUESTIONS #1-3 OR IF YOU ARE UNABLE TO CERTIFY YES TO ITEMS #4-12 OF THE FOREGOING, PROVIDE DETAILS/EXPLANATION BELOW AND/OR IN AN ATTACHED STATEMENT. INCOMPLETE CERTIFICATION FORMS SHALL BE GROUNDS FOR DISQUALIFICATION OF OFFER.

Signature below commits vendor to the attached offer and certifies (1) that the offer has taken into account all solicitation amendments, (2) that the above statements and information are accurate and that vendor understands and has complied with the requirements set forth herein. When delivering offers in person to One Capitol Hill, vendors are advised to allow at least one hour additional time for clearance through security checkpoints.


Vendor's Signature (Person authorized to enter into contracts; signature must be in ink.)

Date 9/5/14

KEN DEMERS
SR VICE PRESIDENT
Name and Title of company official signing offer

Print

ITS ON-CALL CONSTRUCTION CONTRACT
TOTAL BID PRICE

CONTRACTOR: *Arden Engineering Constructors, LLC*

SIGNATURE: *[Signature]*

DATE: *9/5/14*

Item	Class-Item	Total Quantity	Unit	Unit Price	Total
1.0	202.0200 Rock Excavation Common	3	CUBIC YARD	\$450.00	\$1,350.00
2.0	205.0240 Trench Rock Excavation (0-7')	3	CUBIC YARD	\$500.00	\$1,500.00
3.0	901.0101 Guardrail Steel Beam Single Face Earth and Asphalt	100	LINEAR FOOT	\$45.00	\$4,500.00
4.0	901.0192 Guardrail Steel Beam Anchorage Trailing End Section Standards 34.3.1 and 34.3.4	3	EACH	\$3,100.00	\$9,300.00
5.0	901.9901 Guardrail Approach Terminal Section	3	EACH	\$4,000.00	\$12,000.00
6.0	905.9901 PVMS Concrete Pad	3	EACH	\$5,500.00	\$16,500.00
7.0	919.0101 Test Pits	3	EACH	\$350.00	\$1,050.00
8.0	937.9901 Maintenance and Protection of Traffic - Type 1	3	PDAY	\$375.00	\$1,125.00
9.0	937.9902 Maintenance and Protection of Traffic - Type 2	3	PDAY	\$375.00	\$1,125.00
10.0	937.9903 Maintenance and Protection of Traffic - Type 3	3	PDAY	\$1,200.00	\$3,600.00
11.0	937.9904 Maintenance and Protection of Traffic - Type 4	3	PDAY	\$500.00	\$1,500.00
12.0	937.9905 Maintenance and Protection of Traffic - Type 5	3	PDAY	\$1,300.00	\$3,900.00
13.0	937.9906 Maintenance and Protection of Traffic - Type 6	3	PDAY	\$2,000.00	\$6,000.00
14.0	937.9907 Maintenance and Protection of Traffic - Type 7	3	PDAY	\$700.00	\$2,100.00
15.0	937.9908 Maintenance and Protection of Traffic - Type 8	3	PDAY	\$1,300.00	\$3,900.00
16.0	937.9909 Maintenance and Protection of Traffic - Type 9	3	PDAY	\$375.00	\$1,125.00
17.0	937.9910 Maintenance and Protection of Traffic - Type 10	3	PDAY	\$2,500.00	\$7,500.00

ITS ON-CALL CONSTRUCTION CONTRACT

TOTAL BID PRICE

CONTRACTOR: *Arden Engineering Construction, LLC*

SIGNATURE: *[Signature]*

DATE: *9/5/14*

Item	Class-Item	Total Quantity	Unit	Unit Price	Total
18.0	T03.9901 Ground Rod Array	3	EACH	\$425.00	\$1,275.00
19.0	T03.9902 Lightning Dissipater	3	EACH	\$550.00	\$1,650.00
20.0	T04.6902 2' Stranded Copper Conductor 600V Insulation	100	LINEAR FOOT	\$2.30	\$230.00
21.0	T04.6906 6' Stranded Copper Conductor 600V Insulation	500	LINEAR FOOT	\$1.45	\$725.00
22.0	T04.9901 Weatherproof Category 6 UTP - 4 Pair 23 AWG Cable	1000	LINEAR FOOT	\$1.65	\$1,650.00
23.0	T04.9902 16 AWG 3 Conductor Cable	1000	LINEAR FOOT	\$1.65	\$1,650.00
24.0	T05.0100 Precast Type A Handhole Standard 18.2.0	3	EACH	\$1,300.00	\$3,900.00
25.0	T05.9901 Break Into Existing Handhole	3	EACH	\$400.00	\$1,200.00
26.0	T05.9902 Break Into Existing Manhole	3	EACH	\$475.00	\$1,425.00
27.0	T05.9903 Dewatering	3	EACH	\$550.00	\$1,625.00
28.0	T06.1020 2 In. Rigid Steel Conduit - Underground	500	LINEAR FOOT	\$41.00	\$20,500.00
29.0	T06.2020 2 In. Rigid Steel Conduit - Overhead	100	LINEAR FOOT	\$12.50	\$1,250.00
30.0	T06.3020 2 In. Rigid Steel Conduit - Under Existing Pavement	100	LINEAR FOOT	\$145.00	\$14,500.00
31.0	T06.5020 2 Inch Polyvinyl Chloride Plastic Conduit - Underground	100	LINEAR FOOT	\$36.00	\$3,600.00
32.0	T06.6020 2 Inch Polyvinyl Chloride Plastic Conduit - Overhead	100	LINEAR FOOT	\$4.50	\$450.00
33.0	T06.9901 Weather Head Installation on Existing Pole	3	EACH	\$130.00	\$390.00
34.0	T06.9902 Dual 1 1/4 Inch High Density Polyethylene Duct - Under Existing Pavement	100	LINEAR FOOT	\$150.00	\$15,000.00

ITS ON-CALL CONSTRUCTION CONTRACT
TOTAL BID PRICE

CONTRACTOR: Arden Engineering Constructors, LLC SIGNATURE: [Signature] DATE: 9/5/14

Item	Class-Item	Total Quantity	Unit	Unit Price	Total
35.0	T06.9903 Single 1 1/4 Inch High Density Polyethylene Duct - Under Existing Pavement	100	LINEAR FOOT	\$145.00	\$14,500.00
36.0	T06.9904 Dual 1 1/4 Inch High Density Polyethylene Duct - Underground	100	LINEAR FOOT	\$45.00	\$4,500.00
37.0	T06.9905 Single 1 1/4 Inch High Density Polyethylene Duct - Underground	100	LINEAR FOOT	\$42.00	\$4,200.00
38.0	T06.9906 Dual 2 Inch High Density Polyethylene Duct - Underground	100	LINEAR FOOT	\$49.00	\$4,900.00
39.0	T06.9907 Single 2 Inch High Density Polyethylene Duct - Underground	100	LINEAR FOOT	\$45.00	\$4,500.00
40.0	T06.9908 Dual 3 Inch High Density Polyethylene Duct - Underground	100	LINEAR FOOT	\$51.00	\$5,100.00
41.0	T06.9909 Single 3 Inch High Density Polyethylene Duct - Underground	100	LINEAR FOOT	\$48.00	\$4,800.00
42.0	T11.6006 Span and Messenger Wires 6/16	100	LINEAR FOOT	\$7.75	\$775.00
43.0	T11.9901 Service Pole Standard, Wood 40 Foot	3	EACH	\$1,375.00	\$4,125.00
44.0	T11.9902 Service Pole Standard, Wood 45 Foot	3	EACH	\$1,500.00	\$4,500.00
45.0	T11.9903 Install Existing 80 Foot Camera Pole on New Foundation	1	EACH	\$11,750.00	\$11,750.00
46.0	T11.9904 40 Foot Galvanized Steel Camera Pole with Lowering Device and Foundation	1	EACH	\$18,750.00	\$18,750.00
47.0	T11.9905 50 Foot Galvanized Steel Camera Pole with Lowering Device and Foundation	1	EACH	\$22,000.00	\$22,000.00
48.0	T11.9906 60 Foot Galvanized Steel Camera Pole with Lowering Device and Foundation	3	EACH	\$26,000.00	\$78,000.00
49.0	T11.9907 80 Foot Galvanized Steel Camera Pole with Lowering Device and Foundation	3	EACH	\$39,500.00	\$118,500.00
50.0	T11.9908 Portable Lowering Tool	3	EACH	\$3,300.00	\$9,900.00
51.0	T11.9909 Mast Arm 4 Foot	3	EACH	\$500.00	\$1,500.00

ITS ON-CALL CONSTRUCTION CONTRACT
TOTAL BID PRICE

CONTRACTOR: Arden Engineering Constructors, LLC

SIGNATURE: [Signature]

DATE: 9/5/14

Item	Class-Item	Total Quantity	Unit	Unit Price	Total
52.0	T11.9910 Mast Arm 6 Foot	3	EACH	\$600.00	\$1,800.00
53.0	T11.9911 Mast Arm 8 Foot	3	EACH	\$675.00	\$2,025.00
54.0	T11.9912 Mast Arm 10 Foot	3	EACH	\$775.00	\$2,325.00
55.0	T11.9913 Mast Arm 12 Foot	3	EACH	\$975.00	\$2,925.00
56.0	T12.9150 Meter Socket w/ Manual By-Pass	3	EACH	\$250.00	\$750.00
57.0	T12.9901 Meter Pedestal	3	EACH	\$950.00	\$2,850.00
58.0	T12.9902 Disconnect Switch	3	EACH	\$650.00	\$1,950.00
59.0	T12.9903 Transformer	3	EACH	\$750.00	\$2,250.00
60.0	T12.9904 Ground Mounted Camera Control Cabinet and Foundation	3	EACH	\$9,700.00	\$29,100.00
61.0	T12.9905 Pole Mounted Camera Control Cabinet	3	EACH	\$6,350.00	\$19,050.00
62.0	T12.9906 Break into Existing Cabinet	3	EACH	\$350.00	\$1,050.00
63.0	T12.9907 Ground Mounted P Size Replacement Cabinet	3	EACH	\$6,750.00	\$20,250.00
64.0	T12.9908 Ground Mounted M Size Replacement Cabinet	3	EACH	\$6,000.00	\$18,000.00
65.0	T12.9909 Pole Mounted M Size Replacement Cabinet	3	EACH	\$5,500.00	\$16,500.00
66.0	Miscellaneous Materials and Services	75000	EACH	\$1.00	\$75,000.00 Fixed

TOTAL \$657,245.00

QUALIFIED PERSONNEL

- **Anthony Cardinale – Senior Project Manager**
13+ Years Experience in Traffic Signalization, Ductbanks, Highway and Streetscape Lighting, Traffic Monitoring, Switchgear Equipment, and ITS Systems.
Bachelors Degree in Civil Engineering, Background in Transportation Systems.
ATSSA Certified Traffic Control Technician
- **Donald Irace – Foreman Electrician (Key Personnel – Resume attached)**
30+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, and ITS Systems.
Member of IBEW Union for over 25 years.
Certified and Training in Eagle Traffic Controllers, and Piezoelectric Sensors.
ATSSA Certified Traffic Control Technician
- **William McCue – Foreman Electrician (Key Personnel – Resume attached)**
25+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, Switchgear Equipment, and ITS Systems.
Member of IBEW Union for over 25 years.
Certified and Training in Eagle Traffic Controllers, and Piezoelectric Sensors.
IMSA Certified Traffic Control Supervisor and Signal Technician Level II
- **Michael Kelly – Journeyman Electrician**
18+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, and ITS Systems.
Member of IBEW Union for over 20 years.
Certified and Training in Eagle Traffic Controllers, and Piezoelectric Sensors.
ATSSA Certified Traffic Control Technician
- **Edward Audet – Journeyman Electrician**
12+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, and ITS Systems.
Member of IBEW Union for over 15 years.
Certified and Training in Eagle Traffic Controllers, and Piezoelectric Sensors.
ATSSA Certified Traffic Control Technician
- **Kevin Costantino – Journeyman Electrician**
20+ Years Experience in Traffic Signalization, Highway and Streetscape Lighting, Traffic Monitoring, Ductbanks, and ITS Systems.
Member of IBEW Union for over 15 years.
Certified and Training in Eagle Traffic Controllers, and Piezoelectric Sensors.
ATSSA Certified Traffic Control Technician

505 Narragansett Park Drive, Pawtucket, Rhode Island 02861 Tel (401) 727-3500 Fax (401) 727-3540 www.ardeneng.com

An Equal Opportunity Employer

Rhode Island

Master Mechanical	#105, #1409 & #7544
Fire Sprinkler Contractor	#452
Master Plumber	#1094
Refrigeration & Pipefitter Journey	#RJ1 & #PJ1
NEBB	#2807
Electrical Contractor	#AC-004191

Massachusetts

Master Pipefitter	#8590
Master Plumber, Plumbing Contractor	#6780
Sprinkler Contractor	#002774
Refrigeration Contractor	#689
Master Electrician	#20534A

Connecticut

Heating/Cooling Unlimited Contractor	#302950 & #302953
Plumber Unlimited Contractor P-1	#202296

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

HYDRAULIC CRANES 00009559

DONALD R IRACE
11 ROAD C
SCITUATE RI 02857

Ronald R. Ambrose
Administrator

08/31/2015
Expiration Date

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

ELECTRICAL CONTRACTOR A-000043
JOURNEY ELECTRICIAN B-007832

DONALD R IRACE
11 ROAD C
NORTH SCITUATE RI 02857

Ronald R. Ambrose
Administrator

08/31/2015
Expiration Date

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

HYDRAULIC CRANES 00014937

WILLIAM C MCCUE
78 BROOK FARM ROAD
WAKEFIELD RI 02879

05/31/2015
Expiration Date

Ronald R. McMahon
Administrator

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

ELECTRICAL CONTRACTOR A-003354
JOURNEY ELECTRICIAN B-010071

WILLIAM C MCCUE
78 BROOKFARM ROAD NORTH
SOUTH KINGSTOWN RI 02879

05/31/2015
Expiration Date

Ronald R. McMahon
Administrator

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

JOURNEY ELECTRICIAN B-011906

MICHAEL C KELLY
63 SARAH REFT DR #1
WARWICK RI 02889

Ronald R. Ambrose
Administrator

12/31/2015
Expiration Date

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

JOURNEY ELECTRICIAN B-012775

EDWARD P AUDET
123 GENERAL STANTON LANE
CHARLESTOWN RI 02813

Ronald R. Andrews
Administrator

03/31/2015
Expiration Date

Rhode Island Department of Labor and Training
Division of Workforce Regulation and Safety

JOURNEY ELECTRICIAN B-010740

KEVIN COSTANTINO
114 CLAYPOOL DRIVE
WARWICK RI 02886

Ronald R. Mahoney
Administrator

~~10/31/2015~~
Expiration Date

DONALD R. IRACE

PO Box 888, North Scituate, RI 02919

EXPERIENCE

Mass Electric Construction, Cranston, RI - 06/12/92 to 10/01/02

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired, and Time Base Coordination, CCVE Cameras, RVD Monitoring Stations, VMS Signs both roadside and overhead units, HAR Sites, WIM Classification Stations and Highway Lighting Systems.

Croce Electric Company, Warwick, RI - 10/01/02 to 02/01/08

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired and Time Base Coordination, CCVE Cameras, RVD Monitoring Stations, VMS Signs both roadside and overhead units, HAR Sites, WIM Classification Stations and Highway Lighting Systems.

Arden Engineering Constructors, LLC Pawtucket, RI - 05/30/08 to Present

Crew Foreman – Installation of CCVE, RVDs, and Traffic Monitoring Stations on various Rhode Island DOT projects. Crew Foreman – CCVE and Traffic Monitoring maintenance for Rhode Island DOT TMC.

CERTIFICATIONS

MG^2 Certified Technician
ATSSA Traffic Control Technician
PELCO Technician
Eagle Controller Technician
Wavetronix Technician

EDUCATION / LICENSES

IBEW Local 99 Joint Apprenticeship & Training, RI Certificate of Completion 1982
RI Journeyman License #B007832, RI Contractors License #A000043
RI Crane Operators License #00009559, RI CDL Drivers License
OSHA Certified 1995

REFERENCES

Wayne Gablinski, RIDOT Chief of Electrical Unit
Joe Bucci, RIDOT TMC Unit
Mike Wreh, RIDOT TMC Unit

WILLIAM McCUE

78 Brook Farm Road, Wakefield, RI 02879

EXPERIENCE

Mass Electric Construction, Cranston, Rhode Island, 03/01/96 to 05/31/02

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired, and Time Base Coordination, CCVE Cameras, VMS / DMS Signs both roadside and overhead units, HAR Sites, and Highway and Roadway Lighting Systems.

Croce Electric Company, Warwick, Rhode Island, 02/01/03 to 09/01/08

Crew Foreman - Installation and Troubleshooting of Traffic Signal Systems with and without Broad Spectrum Radios, Interconnect Wired and Time Base Coordination, FiberOptic Interconnect Systems, CCVE Cameras, VMS Signs both roadside and overhead units, HAR Sites, and Highway and Roadway Lighting Systems.

Arden Engineering Constructors, LLC Pawtucket, Rhode Island, 03/01/09 to Present

Crew Foreman – Installation of Traffic Signal Systems, FiberOptic Interconnect Systems, CCVE Cameras, Medium/High Voltage Ductbanks and Equipment, and Highway and Roadway Lighting on various Rhode Island DOT projects.

CERTIFICATIONS

MG^2 Certified Technician

IMSA Certified Traffic Signal Technician Level II

IMSA Certified Work Zone Traffic Control Supervisor

PELCO Technician

Eagle Controller Technician

Wavetronix Technician

EDUCATION / LICENSES

IBEW Local 99 Joint Apprenticeship & Training, RI Certificate of Completion 1989

RI Journeyman License #B-10071, RI Contractors License #A003354

RI Crane Operators License #14937, RI CDL Drivers License

OSHA Certified 1995

REFERENCES

Wayne Gablinski, RIDOT Chief of Electrical Unit

Joe Bucci, RIDOT TMC Unit

Mike Wreh, RIDOT TMC Unit

TMP Manager

**HIGHWAY WORK ZONE SAFETY
TRAFFIC CONTROL SUPERVISOR****JASON J RAY**Certificate Number:
4525714WZSV0811

S.S.#: XXX-XX-7037

Date Completed: 8/17/2011

Hours Completed 16

Instructor: PAUL VOLPE

Insulated Bucket Truck



N4 W22610 Bluemound Rd., Waukesha, WI 53186 (262) 547-1600
www.uelc.com • rent@uelc.com

RENTAL AGREEMENT

THIS RENTAL AGREEMENT IS NOT A BINDING CONTRACT
UNTIL APPROVED BY AN OFFICER OF UTILITY EQUIPMENT
LEASING CORPORATION AT OUR OFFICE IN WAUKESHA,
WISCONSIN.

LESSOR: UTILITY EQUIPMENT
LEASING CORPORATION
N4 W22610 Bluemound Rd.
Waukesha, WI 53186

EQUIPMENT DESCRIPTION: MOTOR VEHICLE/CHASSIS

Make FORD
Model F450
Year 2007
Serial No. 1FDXF46P67EB34903

EQUIPMENT/TOWER:

Make Terex
Model TL-38
Year 2007
Serial No. 2070333332

TERMS: NET DUE UPON RECEIPT (fill in all rates)

☐ Per Day \$ 315
☐ Per Week \$ 935
☒ Per 4 Week \$ 1,850

Rental Term End Date _____ (must be filled in)

Security Deposit _____

Delivery N/C PERM SWAP Pickup \$450

Amt. Replacement Value by Lessee: \$95,000

Tire Value: \$700

Min. insurance amount: Lessee covering all situations as
specified in #4 of attached: \$2,000,000 All Inclusive
Liability.

Dealer Name: Dueco-York

Equipment No.: 7301

R.A. Commencement Date 5/29/2012 ☐ AM ☒ PM

R.A. Termination Date _____ ☐ AM ☐ PM

(Date of final re-delivery to Lessor)

Billing Start Date 5/30/2012 ☒ AM ☐ PM

Billing Stop Date _____ ☐ AM ☐ PM

LESSEE: Arden Engineering

Address 505 Narragansett Park Drive

Pawtucket, RI 02861

Contact Anthony Cardinale

Telephone No. 401-727-3500/401639-3493

Fax 401-727-3540

E-mail acardinale@ardeneng.com

P.O. # or JOB #: _____

JOB SITE: Pawtucket, RI

U.S. DOT #: 438676

Lessee must display their U.S. DOT # while operating vehicle on
highway.

Lessee to return vehicle with same fuel level as beginning of
rental. If vehicle is not received back with same fuel level, Lessee
will be charged 2.5 times the current market price per gallon.

Lessee responsible for monthly mileage reports by State. Lessee
to provide IFTA decals and comply with all applicable reporting
requirements.

This rental agreement is subject to the definitions, terms and conditions printed above and on the attached which are made part
thereof and which Lessee acknowledges that he has read. All invoices are due and payable upon receipt. A 1 1/2% per month
finance charge will be added to your account if invoice is not paid within 30 days.

Per ANSI A92.2 and A10.31 standards (current and newer
standards) sections 9 through 11, operator training is
offered for this unit for a fee.

☐ ACCEPT ☒ DECLINE

Initials X AC

Corporate Name Arden Engineering

Name/Title _____

Signature X Anthony Cardinale

(Please Print Name)

(PLEASE PROVIDE COPIES TO: UELC (Plus: END OF RENTAL ORIGINAL), DEALER, and CUSTOMER/UNIT COPY)



INDIANA REGISTRATION CAB CARD

ORIGINAL



NAME OF REGISTRANT NESCO INC				ACCOUNT NO. 20799		FLEET NO. 2	
DBA NAME NESCO SALES & RENTALS				VALIDITY PERIOD 01-MAY-2014 TO 30-APR-2015			
BUSINESS STREET ADDRESS 3112 EAST ST RD 124							
CITY BLUFFTON		STATE IN	ZIP CODE 46714-0000				
UNIT NO. A0117823	VEHICLE IDENTIFICATION NO. 1FDXF46P67EB34903			CARRIER TYPE PRIVATE		TRANSACTION NO. 0	
VEHICLE MAKE FOR	VEHICLE YEAR 2007	VEHICLE TYPE TK		APPORTIONED LICENSE PLATE NO. 2143277		GROSS WEIGHT 16000	
COMBINED GROSS WEIGHT 16000		UNLADEN WEIGHT 12960		FUEL D	AXLES 2	SEATS 0	EXCISE TAX PAID AMT. AND DATE .54 11-MAR-14
MOTOR CARRIER RESPONSIBLE FOR SAFETY CARRIER RESPONSIBLE FOR SAFETY IS EXPECTED TO CHANGE.						OWNER NAME NESCO INC	

ENFORCEMENT CONTROL NUMBER:

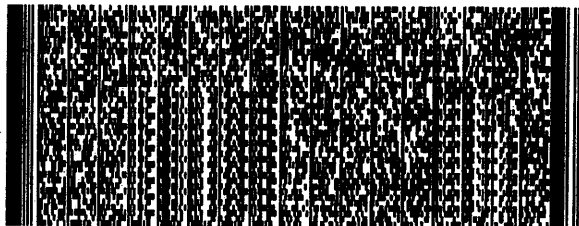
1721754306

This vehicle described herein has been proportionally registered with the State of Indiana and other jurisdictions shown below.

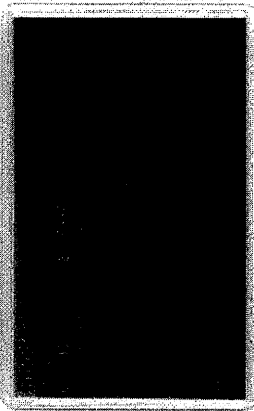
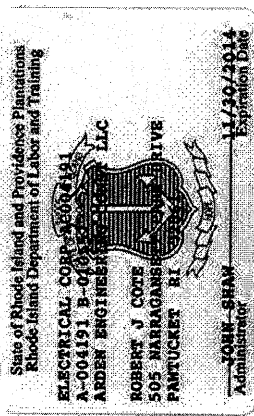
AL	16000	AR	16000	AZ	16000	CA	16000	CO	16000	CT	16000	DC	16000
DE	16000	FL	16000	GA	16000	IA	16000	ID	16000	IL	16000	KS	16000
KY	16000	LA	16000	MA	16000	MD	16000	ME	16000	MI	16000	MN	16000
MO	16000	MS	16000	MT	16000	NC	16000	ND	16000	NE	16000	NH	16000
NJ	16000	NM	16000	NV	16000	NY	16000	OH	16000	OK	16000	OR	16000
PA	16000	RI	16000	SC	16000	SD	16000	TN	16000	TX	16000	UT	16000
VA	16000	VT	16000	WA	16000	WI	16000	WV	16000	WY	16000		

Issued by the Indiana Department of Revenue - For inquiries regarding the validity of this registration cab card, please call Indiana's Voice Response Unit twenty four hours a day at (866)615-7340.

Canadian Provinces are shown in Kilograms, Quebec is shown in axles, all other jurisdictions are shown in Pounds.



Rhode Island Electrical
Corporation License



Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

Name (as shown on your income tax return) Arden Engineering Constructors, LLC	
Business name/disregarded entity name, if different from above	
Check appropriate box for federal tax classification: <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input checked="" type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ P <input type="checkbox"/> Other (see instructions) ▶	Exemptions (see instructions): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____
Address (number, street, and apt. or suite no.) 505 Narragansett Park Drive City, state, and ZIP code Pawtucket, RI 02861	Requester's name and address (optional)
List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Social security number									
			-						
Employer identification number									
0	1	-	0	7	7	5	4	5	7

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- I am a U.S. citizen or other U.S. person (defined below), and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign
Here

Signature of
U.S. person ▶

Date ▶ **1/9/14**

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. The IRS has created a page on IRS.gov for information about Form W-9, at www.irs.gov/w9. Information about any future developments affecting Form W-9 (such as legislation enacted after we release it) will be posted on that page.

Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, payments made to you in settlement of payment card and third party network transactions, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
- Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the

withholding tax on foreign partners' share of effectively connected income, and

- Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct.

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

Dated: 6/27/2011

CERTIFICATION FOR TITLE VI ASSURANCE

I, Anthony Cardinale, Project Manager a duly authorized representative of
(Name) (Title)
Arden Engineering Construction do hereby certify that the organization affirmatively agrees to the provisions
(Company)

set forth below:

1. The Certificate.

For all contracts subject to Title VI of the Civil Rights Act of 1964, 42 U.S.C. part 2000d - 2000d4 (the Act) and 49 C.F.R. Part 21, Nondiscrimination in Federally-Assisted Programs of the Department of Transportation - Effectuation of Title VI of the Civil Rights Act of 1964 (the Regulations), prospective bidders shall be required to complete a Certification affirming compliance with the Act and the Regulations.

2. Certification.

By submission of a Proposal, each bidder and each person signing a Proposal subject to the Act and the Regulations certifies that the bidder shall affirmatively agree as follows:

(a) Compliance with Regulations:

The bidder shall comply with the regulations relative to nondiscrimination in federally-assisted programs of the Department, 49 C.F.R. Part 21, as they may be amended from time to time.

(b) Nondiscrimination:

The bidder shall not discriminate on the grounds of race, color, sex, national origin, age, or disability in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The bidder shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the Contract covers a program set forth in Appendix B of the Regulations.

(c) Solicitations for Subcontractors, Including Procurements of Materials and Equipment:

In all solicitations either by competitive bidding or negotiation made by the bidder for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the bidder of its obligations relative to nondiscrimination on the grounds of race, color, sex, national origin, age, or disability.

(d) Information and Reports:

The bidder shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Department or the Federal Highway Administration to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of the bidder is in the exclusive possession of another who fails or refuses to furnish this information, the bidder shall so certify to the Department, or the Federal Highway Administration, as appropriate, and shall set forth what efforts it has made to obtain the information.

(e) Sanctions for Noncompliance:

In the event of the bidder's noncompliance with the nondiscrimination provisions, the Department shall impose such contract sanctions as it or the Federal Highway Administration may determine to be appropriate, including, but not limited to:

- (1) withholding of payments under the Contract until the bidder complies, and/or
- (2) cancellation, termination or suspension of the Contract, in whole or in part.

(f) Incorporation of Provisions:

The bidder shall include the provisions of paragraphs (a) through (f) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto.

The bidder shall take such action with respect to any subcontract or procurement as the Department or the Federal Highway Administration may direct as a means of enforcing such provisions, including sanctions for noncompliance; provided, however, that in the event the bidder becomes involved in or is threatened with litigation with a subcontractor or supplier as a result of such direction, the bidder may request the Department to enter into such litigation to protect the interests of the Department and, in addition, the bidder may request the United States to enter into such litigation to protect the interests of the United States.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS--PRIMARY COVERED TRANSACTIONS**

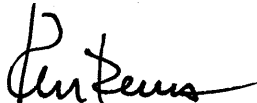
Instructions For Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion- Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER
RESPONSIBILITY MATTERS--PRIMARY COVERED TRANSACTIONS**

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification;
 - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.



Signature/Authorized Certifying Official

KEN DEMERS
SR VICE PRESIDENT

Typed Name and Title

Arden Engineering Constructors, LLC
Applicant/Organization

9/5/14
Date Signed

49 CFR Part 29 - Appendix B

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION--LOWER TIER COVERED TRANSACTIONS

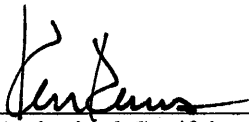
Instructions For Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AN
VOLUNTARY EXCLUSION--LOWER TIER COVERED TRANSACTIONS**

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.



Signature/Authorized Certifying Official

KEN DEMER,
SR VICE PRESIDENT

Typed Name and Title

Arden Engineering Constructors, LLC
Applicant/Organization

9/5/14
Date Signed

BID CONDITIONS**NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL OPPORTUNITY
(Executive Order 11246)**

1. The Offeror's or Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications set forth herein.
2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate work force in each trade on all construction work in the covered area, are as follows:

Timetables	Goals for Minority Participation for Each Trade	Goals for Female Participation in Each Trade
	3.0% R.I. Except Newport County	6.9%
	3.1% Newport County	6.9%

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or Federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

3. The Contractor shall provide written notification to the Director of the Office of Federal Contract Compliance Programs through the Area Director, OFCCP, 169 Weybosset Street, Providence, Rhode Island 02903, within 10 working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address and telephone number of the Subcontractor; employer identification number; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the contract is to be performed.
4. As used in this Notice, and in the contract resulting from this Solicitation, the "covered area" is the State of Rhode Island.

**STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY
CONSTRUCTION CONTRACT SPECIFICATIONS
(Executive Order 11246)**

1. As used in these specifications:
 - (a) "Covered area" means the geographical area described in the solicitation from which this contract resulted.
 - (b) "Director" means Director, Office of Federal Contract Compliance Programs United States Department of Labor, or any person to whom the Director delegates authority.
 - (c) "Employer identification number" means the Federal Social Security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.
 - (d) "Minority" includes:
 - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
 - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
2. Whenever the Contractor, or any Subcontractor at any tier subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000.00 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in a approved Plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered Construction Contractors performing construction work in geographical areas where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical

area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability to employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - (a) Ensure and maintain a working environment free of harassment, intimidation and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all on site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
 - (b) Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organization responses.
 - (c) Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
 - (d) Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
 - (e) Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
 - (f) Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards

accessible to all employees at each location where construction work is performed.

- (g) Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on site supervisory personnel such as Superintendents, Supervisors, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
 - (h) Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
 - (i) Direct its recruitment efforts, both oral and written, to minority female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection procedures.
 - (j) Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.
 - (k) Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
 - (l) Conduct, at least annually, an inventory and evaluation of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
 - (m) Ensure that seniority practices, job classifications, work assignments and other personnel practices, do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
 - (n) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
 - (o) Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
 - (p) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor associations, joint contractor union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of

actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though a Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized).
10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
11. The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
13. The Contractor, in fulfilling its obligations under these specifications shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation, if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, Contractors shall not be required to maintain separate records.
15. Nothing herein provided shall be construed as a limitation upon application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program.).
 - (a) A Form 257, Monthly Employment Utilization Report, is to be completed by both Contractor and Subcontractors, and signed by the designated EEO Officer or an authorized representative of the prime or subcontractor. The reports are to be submitted by the 5th of each month during the term of the contract. The Contractor shall submit an aggregate Form 257 showing its entire work force, minority work force, and female work force (in work hours), on all construction work (Federal and non-federally assisted) in the covered area. The Contractor shall collect and submit reports for each Subcontractor's aggregate work force, providing the same information as above.
 - (b) The Contractor shall submit a Form PR-1391, Federal-Aid Highway Construction Contractors' Annual EEO Report, to be completed by the Contractor and each Subcontractor for every month of July, during which work was performed and submitted to the State. A separate report is to be completed for each Contractor and subcontractor holding contracts or subcontracts exceeding \$10,000.00, except where specified. The employment data entered should reflect the work force on board during all or any part of

the last payroll period preceding the end of the month of July.

NOTE: Include the R.I. Contract Number in the upper right hand corner of the form.

- (c) The Contractor shall submit a Form EEO-10, Federal-Aid Highway Construction Contractors' Semiannual Training Report, to be completed by the Contractor semiannually for each individual employed on this contract (including any subcontractors under it who have provided training during the reporting period under the training special provision). This report is to be submitted by the 20th of the month following the reporting period (July 20 and January 20). The original of this report is to be furnished to the trainee, and two (2) copies submitted to the State.

These reports are required by the Public Laws of Rhode Island (1960), Chapters 85, 96, & 88; 23 U.S.C., 140(a); 23 CFR, Part 23; and Executive Order 11246.

Revised: 4/12/2002

ANTI-COLLUSION CERTIFICATE FOR CONTRACT AND FORCE ACCOUNT
[Unsworn Declaration]

Title 23, United States Code, Section 112(c), requires, as a condition precedent to approval by the Director of Public Roads of the contract for this work, that there be filed an unsworn declaration executed by, on behalf of, the person, firm, association, or corporation submitting the bid certifying that such person, firm, association, or corporation has not either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action, in restraint of free competitive bidding in connection with the submitted bid. This unsworn statement shall be in the form of a declaration executed under penalty of perjury under the laws of the United States.

To the: **STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS**
DEPARTMENT OF TRANSPORTATION, DIVISION OF PUBLIC WORKS

State of RI

County of Providence

I, Kenneth Demars, Vice President, under penalty under the laws of the United States, do depose and say:

On behalf of Arden Engineering Construction, of Pawtucket, RI that said Contractor has not, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with Rhode Island Contract Number, Federal-Aid Project Number, County of, Town-City, Road-Bridge.